

### **30 NCAC 10D .0502      SANCTION CRITERIA**

The Commission may consider one or more of the following criteria in imposing sanctions:

- (1) the length of time the respondent has been engaged in lobbying for payment;
- (2) the length of time the respondent has been paying an individual to lobby on its behalf;
- (3) the length of time the respondent has been registered as a lobbyist or liaison personnel;
- (4) the length of time the respondent has been registered as a lobbyist principal;
- (5) the number of past violations of G.S. 120C by the respondent;
- (6) the number of times the respondent has received a warning letter;
- (7) whether the respondent knew or should have known that the conduct or activity was a violation of Article 1, 3, 5, or 7 of G.S. 120C;
- (8) the nature and number of violations in the complaint;
- (9) the duration of the violation;
- (10) whether the respondent attempted to correct the violation prior to a complaint being filed;
- (11) the result or effect of the violation;
- (12) whether the violation was inadvertent or intentional;
- (13) whether the respondent has received advice, informal or formal, from the Commission regarding the conduct or activity giving rise to the violation;
- (14) the scope of the lobbying activity concealed;
- (15) the amount of the resources expended to violate the law;
- (16) the amount of expenditures concealed; or
- (17) any other criteria that the Commission deems reasonable to assist with the determination of sanctions.

*History Note:*      *Authority G.S. 120C-101(a); 120C-601; 120C-602;*  
*Eff. June 1, 2014.*